



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANT:

Gerhard A. Schneider

APPLICATION NO.: 09/632,196

FILING DATE: August 2, 2000

TITLE: Universal Presentation Device

EXAMINER: Not yet known

GROUP ART UNIT: 2674

ATTY. DKT. NO.: 4396

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: November 22, 2000By: 

Rajiv P. Patel, Reg. No. 39,327

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION**

SIR:

Responsive to Notice to File Missing Parts dated October 20, 2000, received in the above-identified patent application, enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration;
- ☐ a signed Verified Statement

- ☐ Applicant respectfully submits that since this application was entitled to Small Entity Status when filed, as established with the enclosed original, signed, Verified Statement, the fee for filing as a large entity as indicated in the Notice to File Missing Parts is inappropriate

and that the filing fee submitted with this application as originally  
filed is sufficient to cover the filing fees for this application;

☒ payment in the amount of \$130.00 for the surcharge;

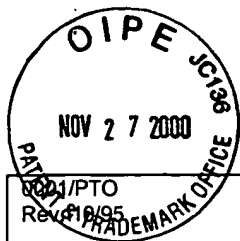
☐ Other:

Respectfully submitted,  
Gerhard A. Schneider

Dated: November 22, 2000

By: Raj Patel

Rajiv P. Patel, Reg. No. 39,327  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (650) 858-7607  
Fax: (650) 494-1417



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PTO/SB/21 (modified)  
Approved for use through xx/xx/xx, OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	09/632,196
	Filing Date	August 2, 2000
	First Named Inventor	Gerhard A. Schneider
	Group Art Unit Number	2674
	Examiner Name	Not yet known
Total Number of Pages in This Submission		8
Attorney Docket Number		4396

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed (\$130.00)	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ]
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Request for Corrected Filing Receipt	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/> _____
<input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final	<input type="checkbox"/> _____
<input type="checkbox"/> Status Request	<input type="checkbox"/> _____
<input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/> _____
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT	
Signature:	<i>Rajiv P. Patel</i>
Attorney/Reg. No.:	Rajiv P. Patel, Reg. No. 39,327
Dated:	November 22, 2000

CERTIFICATE OF MAILING	
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.	
Signature:	<i>Rajiv P. Patel</i>
Typed or Printed Name:	Rajiv P. Patel
Dated:	November 22, 2000
Express Mail Mailing Number (optional):	

0002/PTO(modified) U.S. Department of Commerce  
Rev. 10/2000 Patent and Trademark Office

## FEE TRANSMITTAL

**TOTAL AMOUNT OF PAYMENT**

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$130.00)**

## METHOD OF PAYMENT

**FEE CALCULATION (continued)**

**1. The Commissioner is hereby authorized to:**

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. <sup>†</sup>
- ☐ Applicant claims small entity status  
See 37 CFR 1.27

**Deposit Account Number:** 19-2555  
**Deposit Account Name:** FENWICK & WEST LLP

**A Duplicate Copy of this authorization is attached**

**2. ☒ Payment Enclosed:**

- ☒
- Check
- ☐
- Credit Card
- ☐
- Other

**FEE CALCULATION** (fees effective 10/01/2000)

## 1. FILING FEE

<u>Large Entity</u> Fee Code/Fee	<u>Small Entity</u> Fee Code/Fee	Fee Description	Fee Due
101/\$710	201/\$355	Utility Filing	<input type="text"/>
106/\$320	206/\$160	Design Filing	<input type="text"/>
108/\$710	208/\$355	Reissue	<input type="text"/>
114/\$150	214/\$75	Provisional Filing	<input type="text"/>

<b>SUBTOTAL (1)</b>	<b>(\$)</b> 0.00
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## 2. CLAIMS

<u>Large Entity</u> Fee Code/Fee	<u>Small Entity</u> Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$80	202/\$40	Independent claims in excess of 3
104/\$270	204/\$135	Multiple dependent claim
109/\$80	209/\$40	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

### 3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>		
Fee Code/Fee	Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	130
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month <sup>†</sup>	
116/\$390	216/\$195	Extension for response within second month <sup>†</sup>	
117/\$890	217/\$445	Extension for response within third month <sup>†</sup>	
118/\$1,390	218/\$695	Extension for response within fourth month <sup>†</sup>	
128/\$1,890	228/\$945	Extension for response within fifth month <sup>†</sup>	
119/\$310	219/\$155	Notice of Appeal	
141/\$1,240	241/\$620	Petition to revive unintentionally abandoned application	
142/\$1,240	242/\$620	Utility Issue Fee (Or Reissue)	
143/\$440	243/\$220	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
126/\$180	126/\$180	Submission of Information Disclosure Statement	
179/\$710	279/\$355	Request for Continued Examination (RCE)	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
146/\$710	246/\$355	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$710	249/\$355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify):

**Other fee (specify):**

**SUBTOTAL (3)** **(\$)**130.00

(Col. 1)			(Col. 2)		(Col. 3)			
For	No. of Existing Claims		Highest No. Previously Paid For	=	Extra**		Fee	Fee Due
TOTAL		minus*	20 or 0	=		x		
INDEP		minus*	3 or 0	=		x		
<input type="checkbox"/> First presentation of multiple dependent claim								

\* Subtract the greater number of Col. 2

**\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3**

**SUBTOTAL (2)** (\$)**0.00**

## SUBMITTED BY

Typed or Printed Name	Rajiv P. Patel
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**Signature**

K. Patel

**Complete (if applicable)**

Reg. Number	39,327
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Date \_\_\_\_\_

November 22, 2000

19414/04396/DOCS/1/17631.1

<sup>†</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby  
Rev. 09/30/2000



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/632,196	08/02/2000	Gerhard A. Schneider	4396

## FORMALITIES LETTER



\*OC000000005491748\*

Rajiv P Patel  
Patent Law & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306

Date Mailed: 10/20/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/30/2000 ETULU1 00000046 09632196

01 FC:105

130.00 OP